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RESEARCH

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Efficacy and safety of citrate-based anticoagulation compared to heparin in patients with acute kidney injury requiring continuous renal replacement therapy: a randomized controlled trial

Q1 Fabien Stucker^{1†}, Belen Ponte^{1†}, James Tataw¹, Pierre-Yves Martin¹, Hannah Wozniak², Jérôme Pugin² and Patrick Saudan^{1*}

Abstract

Introduction: A systemic anticoagulation is often required to prevent circuit and filter clotting in ICU patients undergoing continuous renal replacement therapy (CRRT). A regional citrate-based anticoagulation (RCA) does not induce a systemic anticoagulation and prolongs the filter lifespan, but metabolic side-effects have been associated with this therapy. We conducted a randomized controlled trial with patients requiring CRRT to determine whether RCA using a balanced predilution replacement fluid is more effective than heparin in terms of renal replacement delivered dose and safety profile.

Methods: One hundred and three patients with AKI requiring CRRT were included. The patients were randomized to either CRRT with RCA or heparin anticoagulation. Primary endpoints were effective daily delivered RRT dose during the first 3 days of CRRT and filter lifespan. Secondary endpoints were 28-day and 90-day survival and severe metabolic complications and bleeding disorders.

Results: Median CRRT duration was 3.0 (2–6) days. Effective delivered daily RRT doses were 29 ± 3 and 27 ± 5 mL/kg/hr in the RCA and heparin groups, respectively ($p = 0.005$). Filter lifespans were 49 ± 29 versus 28 ± 23 hrs in the RCA and heparin groups ($p = 0.004$). Survival rates at 28 and 90 days were 80-74% in the RCA and 74-73% in the heparin group. Electrolytes and acid-base disturbances were uncommon and transient in patients treated with RCA.

Conclusions: These results show that RCA is superior to heparin-based anticoagulation in terms of delivered RRT dose and filter life span and is a safe and feasible method. This does not translate into an improvement in short term survival.

Q2 **Trial registration:** ClinicalTrials.gov NCT01269112. Registered 3rd January 2011.

Introduction

Acute kidney injury (AKI) is a common complication in the ICU setting, occurring in nearly 5 to 7% of the patients and burdened by a high mortality rate [1]. Renal replacement therapy (RRT) is needed in 70% of ICU patients with AKI and continuous renal replacement therapy (CRRT) is implemented in 80% of the cases [1].

Systemic anticoagulation is often required to prevent clotting of the filter and extracorporeal circulation. Until recently unfractionated heparin was the standard and the most-used anticoagulation therapy in the ICU setting [2]. However, ICU patients are at higher risk of bleeding for many reasons (surgical procedures, trauma, liver dysfunction, thrombocytopenia), and this risk is increased when systemic anticoagulation is used.

By chelating calcium, citrate inhibits the clotting cascade and thrombin generation, and can therefore be used to specifically anticoagulate the extracorporeal circulation and filter during CRRT. The use of postfilter

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48 calcium supplementation is necessary to restore normal
49 systemic calcium levels and full systemic coagulation
50 [3,4]. Citrate can induce severe hypocalcemia, as well as
51 other metabolic disorders such as metabolic alkalosis or
52 acidosis, especially acidosis in patients with severe liver
53 impairment as citrate is mainly metabolized into bicar-
54 bonate by the liver. To avoid these serious side-effects,
55 protocols of regional citrate administration have been
56 developed along with the use of postfilter and systemic
57 ionized calcium measurements. This enables modulation
58 of citrate flow rate within the extracorporeal circuit, as
59 well as calcium supplementation, in order to maintain
60 anticoagulation in the circuit and normal systemic cal-
61 cium levels.

62 Some human studies have demonstrated that regional
63 citrate-based anticoagulation (RCA) may extend the fil-
64 ter lifespan and therefore minimize filter clotting, circuit
65 downtime and blood losses [5-9]. A decrease in mortal-
66 ity has also been observed in the largest RCT published
67 to date, although this was not confirmed in a subsequent
68 trial [10,11].

69 According to the new Kidney disease improving global
70 outcomes (KDIGO) guidelines, RCA should be now the
71 first choice for CRRT anticoagulation [12]. Due to time-
72 consuming procedures and a more complex protocol, its
73 implementation within the ICU setting can however, be
74 more difficult than use of standard heparin. Citrate can
75 be administered prefilter, either as a separate solution, or
76 contained within a balanced predilution replacement
77 solution.

78 As this modality may be more caregiver-friendly in
79 terms of implementation, we conducted a randomized
80 controlled trial in ICU patients requiring CRRT to deter-
81 mine whether a balanced predilution replacement fluid
82 with citrate was more effective than heparin in terms of
83 delivered renal replacement dose, filter lifespan, safety
84 profile and patient survival.

85 **Methods**

86 **Study design and outcomes**

87 The study was a monocentric prospective open-label
88 randomized controlled trial at the ICU of the University
89 Hospitals of Geneva (Switzerland). The study was ap-
90 proved by the local ethical committee from the Geneva
91 University Hospitals and registered at ClinicalTrials.gov
92 (NCT01269112). A consent form was obtained from all
93 enrolled patients, their next-of-kin or a senior ICU phys-
94 ician who was neither in charge of the patient nor in-
95 volved in the study. Consent was sought and confirmed
96 whenever patients regained decision-making capacity.

97 **Setting and patients**

98 The Geneva University Hospitals ICU is a 36-bed unit
99 taking care of medical, surgical, trauma, and transplant

patients (n = 2,600 admissions/yr). CRRT indications and 100
implementations are under the supervision of intensive 101
care physicians and nephrologists with the involvement 102
of the nephrology nurses for the CRRT set up. 103

104 **Inclusion criteria**

105 ICU patients were eligible if they were ≥ 18 years of age
106 and had an AKI requiring CRRT according to the
107 kidney-failure criteria of the RIFLE definition [13].

108 **Exclusion criteria**

109 Patients were excluded if they had active hemorrhagic
110 disorders or severe thrombocytopenia ($< 50 \times 10^9/L$), a
111 history of heparin-induced thrombocytopenia, severe
112 liver failure defined as a factor V $< 20\%$, or were on the
113 waiting list for liver transplantation.

114 **Treatment assignment**

115 Subjects enrolled into the trial were randomly allocated to
116 either heparin or citrate anticoagulation. A randomization
117 list was generated by computer in random blocks of five
118 patients, and blinded for the investigators. Sealed, opaque
119 and sequentially numbered envelopes with the respective
120 allocation cards were prepared by the Unit of Quality
121 Care. The on-call nurse from the Nephrology Unit opened
122 the next available envelope each time a patient was en-
123 rolled in the study. Blinding was impossible to perform for
124 obvious logistic reasons. A unique identification code was
125 assigned to the subject at inclusion. Data were collected
126 and analyzed using this anonymous number.

127 **Intervention**

128 CRRT was performed in both arms by pump-driven de-
129 vices (Prismaflex-Gambro) with fluid balance systems
130 and a biocompatible high-flux membrane measuring 1.5 m^2 (ST-150; Gambro). Continuous veno-venous
131 hemodiafiltration (CVVHDF) was started at a dose of
132 30 ml/kg/h, of which 10 ml/kg/h were dialysate flow.
133 Two thirds of the replacement fluids were administered
134 in the predilution mode and one third in the postdilu-
135 tion mode. Our dose protocol was tailored to match a
136 25 ml/kg/h dialysis dose obtained in the postdilution
137 mode in order to compensate for the lower efficacy of
138 our 2/3 predilution protocol. As our predilution reinjec-
139 tion flow rate adds 16% more fluid to our blood flow
140 rate, we estimated that 30 ml/kg/h would be equivalent
141 to the dialysis dose implemented in the renal trial [14].
142 The ultrafiltration rate was adapted by the ICU team ac-
143 cording to clinical criteria, with a recommendation not
144 to exceed 200 ml/kg/h. In the absence of clotting, the fil-
145 ter was changed after 72 h following manufacturer's rec-
146 ommendations. A double-lumen catheter was inserted
147 through a central vein. A triple-lumen catheter was used
148 when no other central venous line was available for 149

150 calcium infusion. Blood flow was maintained between
151 100 and 200 ml/min. All solutions except Prismocitrate
152 contained bicarbonate.

153 Citrate

154 CVVHDF was performed using Prismocitrate 18/0 so-
155 lution (Trisodium citrate 18 mmol/L, Na⁺ 136 mmol/L,
156 Cl⁻ 86 mmol/L) in the predilution mode, Prismocal B22
157 (Mg²⁺ 0.75 mmol/L, Na⁺140 mmol/L, K⁺ 4 mmol/L,
158 Cl⁻120.5 mmol/L, lactate 3 mmol/L HCO₃⁻ 22 mmol/L)
159 in the dialysate mode, and PrismaSol (Ca²⁺ 1.75 mmol/L,
160 Mg²⁺ 0.5 mmol/L, Na⁺ 140 mmol/L, Cl⁻113.5 mmol/L,
161 lactate 3 mmol/L HCO₃⁻ 32 mmol/L, K⁺ 4 mmol/L, glu-
162 cose 6.1 mmol/L) in the postdilution mode. All the solu-
163 tions were made and delivered by Gambro. The protocol
164 was designed to adjust the citrate solution flow rate to the
165 patients' blood flow rate to target a blood citrate concen-
166 tration of 3 mmol/L. Blood flow was therefore maintained
167 between 100 and 200 ml/min according to the patient's
168 body weight in order to achieve this target. In the case of
169 early signs of clotting, citrate dose was further adapted to
170 aim for a postfilter ionized calcium of 0.25 to 0.3 mmol/L.
171 Postfilter ionized calcium was measured 15 minutes after
172 any change in blood, reinjection, dialysate, or calcium flow
173 rates.

174 A protocol was followed by the intensive care nurses
175 (ionized systemic calcium and bicarbonate were mea-
176 sured by arterial blood gases every 3 h during the first
177 24 h, then every 5 h) to adapt the dialysate flow to main-
178 tain the blood pH within normal range, and to adapt the
179 postfilter calcium administration to prevent systemic
180 hypocalcemia.

181 Heparin

182 CVVHDF was performed using unfractionated heparin
183 as anticoagulant and PrismaSol as reinjection and dial-
184 ysalte fluids. The dose of heparin was prescribed by
185 the intensive care physician depending on the patient's
186 medical condition. A minimal dose of 500 UI/h was re-
187 quired to assure circuit patency. The treatment was
188 continued until recovery of renal function, which was
189 defined as a urine output of ≥ 1 ml/kg/h or stable plasma
190 creatinine values 24 h after CRRT discontinuation, or the
191 start of intermittent hemodialysis or death. Treatment was
192 stopped in the case of any adverse event possibly related
193 to the type of anticoagulation. The event was signaled and
194 treatment resumed or switched to the other mode of
195 anticoagulation, according to the judgment of the ICU
196 physician in charge of the patients.

197 Study endpoints

198 We assessed the following parameters during RRT
199 days: filter lifespan (duration of use until non elective
200 circuit disconnection due to filter clotting or effective

transmembranous pressure >300 mmHg); daily delivered 201
RRT dose (ml/kg/h), corresponding to the h/day when the 202
prescribed RRT dose was delivered (including filter down- 203
time), a daily 30 ml/kg/h RRT dose being a delivered RRT 204
dose during 24 h without downtime; daily effective deliv- 205
ered RRT dose (ml/kg/h) corresponding to the h/day 206
when prescribed RRT dose was delivered (excluding 207
elective filter downtime, such as treatment interrup- 208
tions for radiological or surgical procedures); bleeding 209
episodes requiring transfusions; episodes of heparin- 210
induced thrombocytopenia (HIT); and metabolic disorders 211
(defined as metabolic alkalosis with pH >7.55, metabolic 212
acidosis with pH <7.25, clinically relevant hypocalcemia 213
with ionized calcium <1 mmol/L, citrate accumulation de- 214
fined as a Ca tot/Ca ion ≥ 2.5). 215

We assessed the following parameters during follow-up: 216
vital status at 28 and 90 days (survival, hospitalization and 217
requirement of maintenance RRT); primary outcomes of 218
mean daily delivered RRT dose during the first 3 days of 219
CRRT (ml/kg/h) and filter lifespan; secondary outcomes 220
of patient survival at 28 and 90 days, length of ICU stay, 221
bleeding episodes, occurrence of HIT and severe metabolic 222
disorders. Survival status was assessed according to the 223
Hospital database linked with the Geneva State Registry 224
office. 225

Statistics

226
227 Statistics were performed using SPSS18 software package
228 (SPSS Inc., Chicago IL, USA). All categorical variables are
229 presented as number and percentage and all continuous
230 variables with a normal distribution as mean \pm SD. When
231 not normally distributed, variables are expressed as med-
232 ian and IQR. Parametric and non-parametric tests were
233 used to compare baseline characteristics of study groups.
234 For the primary outcome (filter lifespan), survival analysis
235 was assessed with Kaplan-Meier curves and groups were
236 compared by log rank test. Analyses were conducted on
237 an intention-to-treat basis. A two-side *P*-value <0.05 was
238 considered significant.

Sample size

239
240 We hypothesized that the effective daily CRRT dose
241 would be $95 \pm 10\%$ of the prescribed dose with citrate-
242 based replacement fluid and $75 \pm 20\%$ with heparin. We
243 calculated a sample size of 49 patients per arm to obtain
244 80% power with a two-sided α level <0.05 and to detect
245 a 20% change in the prescribed dose effectively deliv-
246 ered (primary outcome). The trial was stopped after
247 randomization of 103 patients.

Results

248
249 From October 2011 to July 2013, we screened 246 pa-
250 tients with AKI requiring CRRT within the Medical and
251 Surgical ICU of the Geneva University Hospitals. We

252 enrolled 103 of them in the study, and they received the
 253 allocated treatment. Reasons for non enrollment for the
 254 remaining 143 patients are mentioned in the study flow
F1 255 chart (Figure 1).
 256 Demographic and clinical characteristics at baseline in
T1 257 both arms are shown in Table 1. Baseline characteristics
 258 were well-matched across groups.

259 **Primary outcome**
 260 Mean daily delivered RRT dose for patients during RRT
 261 were 29 ± 3 ml/kg/h in the RCA group and 27 ± 5 ml/kg/h
 262 in the heparin group ($P=0.005$). In the 52 patients who
 263 did not need to have RRT stopped for elective reasons
 264 during the first 3 days of RRT, the mean daily delivered
 265 RRT dose for was 29 ± 5 ml/kg/h in the RCA group and
 266 25 ± 4 ml/kg/h in the heparin group ($P=0.007$), and the
 267 mean filter lifespan significantly increased in the RCA

group compared to the heparin one (49 ± 29 versus 268
 28 ± 23 h, vs = 0.004) (Figure 2). 269 **F2**

Secondary outcomes 270

Safety issues 271

Four patients in the citrate group were switched to hep- 272
 arin during the study: one on account of worsening liver 273
 failure, one on account of a technical problem with cal- 274
 cium infusion, and two for clinically relevant hypocalce- 275
 mia (one with concomitant intractable severe metabolic 276
 acidosis due to septic shock and one whose treatment 277
 was changed by the team for no clear reasons). In the 278
 six patients with RCA who had severe hypocalcemia, 279
 mean total calcium was 1.78 (0.10) mmol/L, ionized 280
 calcium was 0.94 (0.27) mmol/L and Ca tot/calcium 281
 ion ratio was 2.17 (1.20). Citrate accumulation, identi- 282
 fied as a Ca ratio (total calcium/ionized calcium >2.5), 283

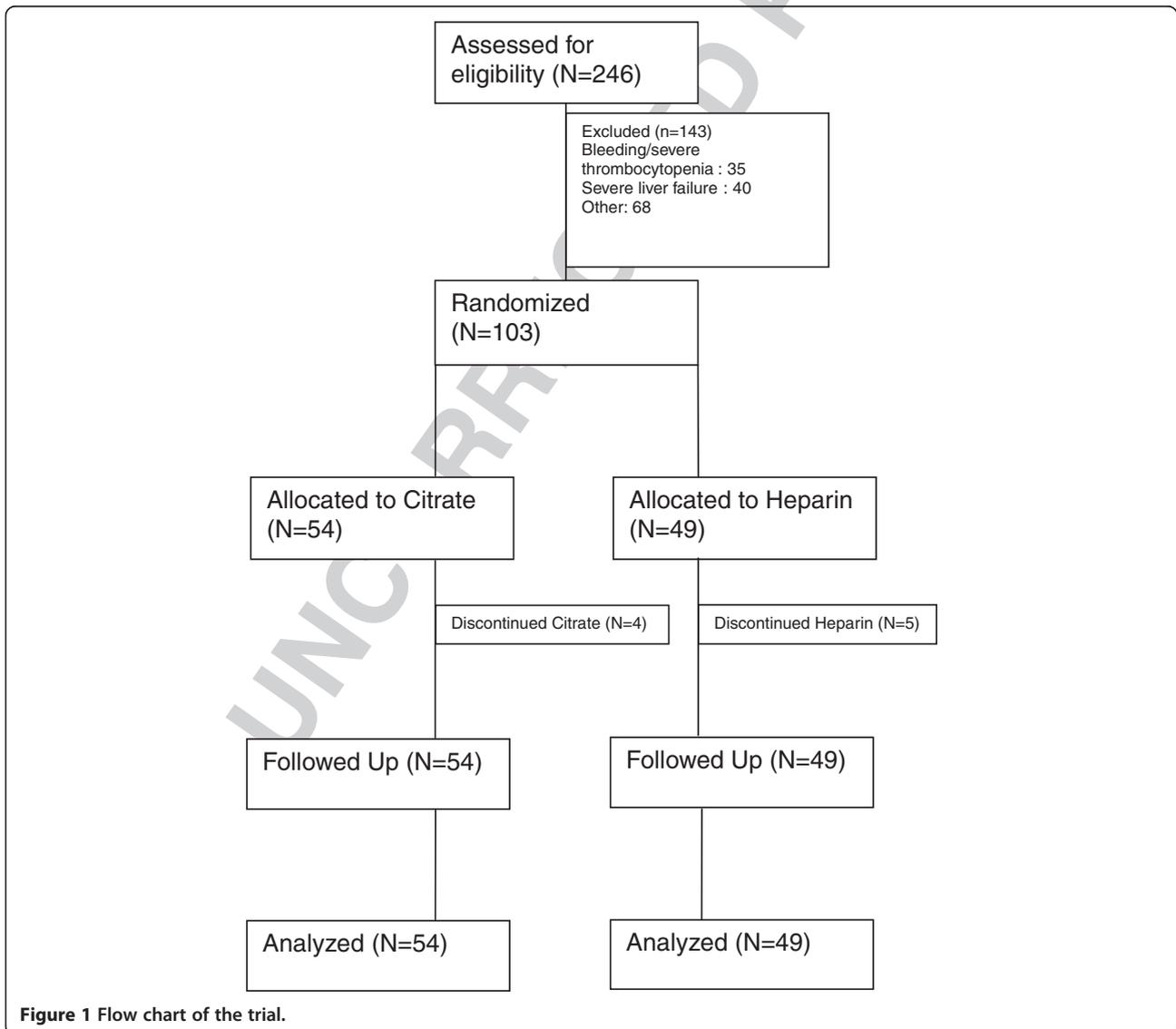


Figure 1 Flow chart of the trial.

t1.1 **Table 1 Baseline characteristics of the participants according to randomization**

t1.2 Variables	Citrate (n = 54)	Heparin (n = 49)	P
t1.4 Age	60 (14)	65 (16)	0.07
t1.5 Male gender	32 (59)	32 (64)	0.55
t1.6 Weight, kg	82 (16)	80 (18)	0.67
t1.7 Diabetes	19 (36)	16 (33)	0.73
t1.8 Chronic kidney disease	22 (42)	17 (35)	0.48
t1.9 Coronary artery disease	9 (17)	13 (27)	0.24
t1.10 Cerebrovascular disease	4 (8)	4 (8)	0.91
t1.11 Cardiac heart failure	13 (25)	15 (30)	0.49
t1.12 Chronic liver disease	6 (11)	6 (12)	0.89
t1.13 Cancer	6 (11)	10 (20)	0.21
t1.14 Diagnosis of renal failure			
t1.15 (medical/trauma/surgical)	44 (81)/3 (5)/7 (13)	35 (71)/1 (2)/13 (27)	0.17
t1.16 Laboratory data			
t1.17 Serum creatinine, $\mu\text{mol/L}$	471 (319)	455 (296)	0.89
t1.18 BUN, mmol/L	27 (17)	26 (19)	0.80
t1.19 Hemoglobin, g/L	104 (22)	107 (22)	0.52
t1.20 Platelets, $10^9/\text{L}$	217 (160)	181 (115)	0.20
t1.21 INR	1.2 (0.3)	1.3 (0.4)	0.70
t1.22 PTT, sec	46 (23)	53 (39)	0.24
t1.23 pH	7.27 (0.16)	7.28 (0.14)	0.61
t1.24 Bicarbonate, mmol/L	18.3 (5.4)	16.4 (5.9)	0.37
t1.25 Total calcium (mmol/L)	2.31 (0.24)	2.28 (0.23)	0.51
t1.26 Ionized calcium (mmol/L)	1.13 (0.12)	1.12 (0.11)	0.54
t1.27 Severity score data			
t1.28 Sepsis	32 (60)	31 (63)	0.76
t1.29 Oliguria	34 (69)	35 (71)	0.83
t1.30 Inotropic support	31 (60)	30 (61)	0.87
t1.31 Mechanical ventilation	32 (62)	28 (57)	0.65
t1.32 APACHE II score	28 (9)	29 (9)	0.58
t1.33 SAPS score	63 (18)	65 (18)	0.61

Q51 .34 Data are expressed as mean \pm SD. Categorical data are expressed as number (%). BUN, blood urea nitrogen; INR, internal normalized ratio; PTT, partial
 Q81 .35 thromboplastin time; APACHE, acute physiology and chronic health evaluation; SAPS, simplified acute physiology score.

284 was only observed in one patient and was transient, as
 285 ionized hypocalcemia responded well to increased cal-
 286 cium supplementation. Five patients were switched
 287 from heparin to citrate: two patients with major bleed-
 288 ing, and three because of recurrent filter clotting.
 289 Metabolic disorders and episodes of bleeding are listed

T2 290 in Table 2.

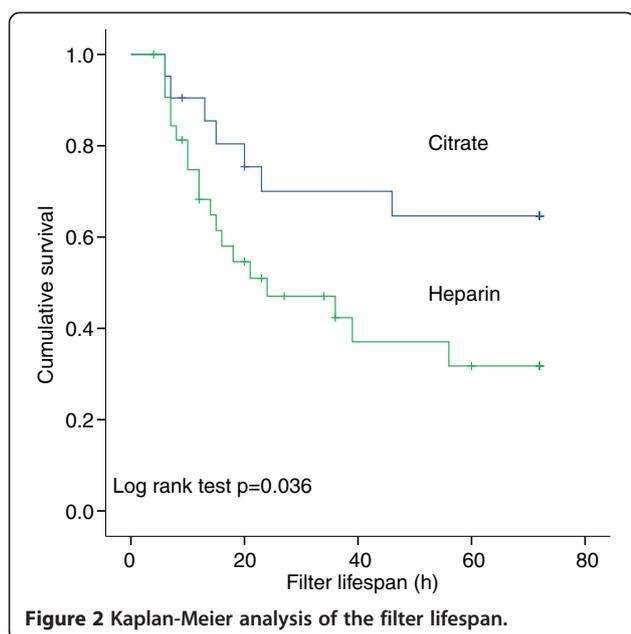
291 Mortality

292 In the intention-to-treat analysis, 28- to 90-day mortality
 293 rates were 20 to 26% and 26 to 27% in the citrate and
 294 heparin groups, respectively ($P = 0.37$). In the per

protocol analysis, 90-day mortality rates were 27% 295
 and 30% in the citrate and heparin groups, respect- 296
 ively ($P = 0.33$). The 90-day mortality rate in the 246 297
 critically ill patients treated by CRRT during the 298
 study period was 38%. 299

Length of CRRT and stay

300 RRT duration was slightly longer for patients in the hep- 301
 arin group, although the difference did not reach statistical 302
 significance (Table 2). Median duration of ICU stay was 303
 similar in both groups. Median duration of hospitalization 304
 was slightly longer in the citrate group (Table 1). 305



306 RRT long-term dependence

307 Nine patients remain RRT-dependent, five in the heparin
308 group, and four in the citrate group at 90-day follow up.

309 Subgroup of patients with liver failure

310 Twelve patients with liver failure, defined as previously
311 known to have cirrhotic disease or acute elevation of
312 amino transferases associated with prolonged clotting
313 time and encephalopathy, in whom factor V was still >20%
314 at screening, have been enrolled in the study. Among these
315 patients, six were randomized into the citrate group, of
316 whom four survived >90 days. RCA was well-tolerated and
317 implemented without any subsequent metabolic disorders
318 in these patients.

319 Discussion

320 Since the initial publication by Mehta *et al.*, RCA has
321 slowly gained support among nephrologists and intensi-
322 vists treating ICU patients with AKI requiring CRRT
323 [15]. However, its widespread use is hampered by fears
324 of severe metabolic side-effects, such as citrate accumu-
325 lation leading to hypocalcemia and acid-base disorders
326 [7,16]. Our results show that citrate-based regional
327 anticoagulation is safe, and that metabolic complications
328 are rare when a standardized protocol is used to adapt
329 dialysate flow and calcium substitution in order to main-
330 tain blood pH and ionized calcium levels within the nor-
331 mal range.

332 We used a commercially available balanced predilution
333 replacement solution, with an administered volume
334 coupled to blood flow in order to minimize caregiver-
335 induced manipulation errors. Filter lifespan and thus,
336 effective daily RRT dose, were significantly increased

with this RCA protocol. RCA dramatically decreases 337
the filter clotting, which is a frequent complication of 338
CRRT, especially in patients with acute critical illness 339
such as sepsis, where thrombogenicity is increased 340
[17]. An increased filter lifespan means less treatment 341
interruption and more effective dialysis time. One of 342
the most frequent problems encountered in these 343
patients treated by CRRT is indeed circuit downtime, 344
implying that delivered RRT dose is often lower than 345
prescribed [18]. We found that filter clotting occurred 346
only in 6% of the patients within the RCA group versus 347
37% of the patients within the heparin group. This fa- 348
vorable effect was shown in many, but not all, prior 349
publications which are encompassed in two recent 350
meta-analyses, leading to the sound conclusion that 351
citrate may be more effective than heparin in terms of 352
filter lifespan [5,19-22]. 353

A few studies have evaluated the safety and efficacy of 354
custom-made citrate solutions. In a recent multicenter 355
randomized study, a custom-made calcium-free triso- 356
dium citrate replacement fluid (13.3 mmol citrate/L) was 357
compared with bicarbonate- and lactate-based replace- 358
ment solutions in ICU patients [8]. No difference was 359
found for 28- and 90-day mortality when using citrate as 360
compared to bicarbonate- and lactate-based replacement 361
solutions. Six percent of the patients randomized to 362
RCA had citrate accumulation, which led to RCA interrup- 363
tion, as compared to one third of heparin interrup- 364
tion within the other group. Citrate was found to be 365
superior in terms of safety and cost-effectiveness. The 366
lower rate of citrate accumulation in our patients is 367
probably due to the fact that our patients represented a 368
different population, as patients with severe liver failure 369
were excluded. 370

In an uncontrolled retrospective study with a citrate- 371
based commercially available solution, 16 cases of citrate 372
accumulation and 4 cases of CVVH termination due to 373
citrate accumulation were reported [23]. This unexpect- 374
edly high rate of complication may have been related to 375
the very high CVVH dose (45 ml/kg/h) that was used in 376
this study. Moreover, the necessity of an exogenous infu- 377
sion of sodium bicarbonate may have increased the com- 378
plexity of the procedure. 379

Another prospective observational uncontrolled trial 380
has recently been conducted to assess the safety of a 381
custom-made, not yet commercially available, citrate 382
solution [24] in patients prone to bleeding. CVVH with 383
RCA was found to be safe in these patients, and citrate 384
had to be withdrawn in only 11% of the patients, espe- 385
cially in patients with higher transaminases. This rate 386
is higher than in our study, probably related to the 387
number of patients with moderate to severe liver dis- 388
ease in this study and to the lower citrate flow that we 389
used. 390

t2.1 **Table 2 Intervention data**

t2.2 Variables	Citrate	Heparin	p
t2.3	(n = 54)	(n = 49)	
t2.4 Delivered RRT dose, ml/kg/h	29 (3)	27 (5)	0.005
t2.5 Effective delivered RRT dose*, ml/kg/h	28 (5)	26 (4)	0.15
t2.6 Filter lifespan, h	49 (29)	28 (23)	0.004
t2.7 Mean heparin, IU/ml dose	6,757 (5,455)	10,567 (7,760)	0.005
t2.8 Laboratory follow-up data			
t2.9 Total calcium, mmol/L, day 1	2.34 (0.20)	2.31 (0.19)	0.56
t2.10 Ionized calcium, mmol/L, day 1	1.05 (0.10)	1.12 (0.09)	0.04
t2.11 pH, day 1	7.32 (0.10)	7.31 (0.11)	0.62
t2.12 Bicarbonate, mmol/L, day 1	18 (4.6)	19 (7.2)	0.96
t2.13 Na, mmol/L, day 1	136 (15)	138 (7)	0.42
t2.14 Chloride, mmol/L, day 1	104 (15)	108 (7)	0.15
t2.15 Potassium, mmol/L, day 1	6 (14)	5.3 (5.6)	0.61
t2.16 Lactate, mmol/L, day 1	1.3 (0.9 to 2.9)	1.3 (0.8 to 1.8)	0.67
t2.17 Total calcium, mmol/L, day 3	2.52 (0.19)	2.41 (0.22)	0.02
t2.18 Ionized calcium, mmol/L, day 3	1.14 (0.10)	1.20 (0.11)	0.01
t2.19 pH, day 3	7.40 (0.06)	7.41 (0.06)	0.39
t2.20 Bicarbonate, mmol/L, day 3	23.71 (1.81)	25.17 (4.31)	0.43
t2.21 Na, mmol/L, day 3	138 (3.37)	138 (4)	0.71
t2.22 Chloride, mmol/L, day 3	104 (3.4)	107 (4)	0.00
t2.23 Potassium, mmol/L, day 3	4 (0.52)	4.3 (0.6)	0.03
t2.24 Lactate, mmol/L, day 3	1.4 (0.9 to 2.2)	1.1 (0.9 to 1.4)	0.50
t2.25 Side effects	32	27	0.17
t2.26 Bleeding	0	4 (8)	
t2.27 HIT	1 (2)	2 (4)	
t2.28 Filter clotting	3 (6)	18 (37)	
t2.29 Metabolic disorders:	14	3	
t2.30 Metabolic alkalosis	3	0	
t2.31 Respiratory alkalosis	0	1	
t2.32 Metabolic acidosis	3	1	
t2.33 Severe hypocalcemia	6	1	
t2.34 Ca total/calcium ion ratio >2.5	1	0	
t2.35 CRRT, days	3 (2 to 6)	3 (2 to 5)	0.30
t2.36 ICU, days	7 (4 to 15)	7 (4 to 12)	0.79
t2.37 Hospital, days	22 (6 to 35)	16 (9 to 30)	0.45
t2.38 Survival at 28 days	43 (80)	36 (74)	0.46
t2.39 Survival at 90 days	40 (74)	35 (73)	0.90

Q7] t2.40 Data are expressed as mean \pm SD or median (IQR) according to the distribution, and categorical data are expressed as number (%). *Including elective filter
t2.41 downtime. RRT, renal replacement therapy; CRRT, continuous renal replacement therapy.

391 We had no bleeding within the RCA group but slightly
392 more metabolic side effects. The clinical impact was
393 minor as only two patients had to be switched to hep-
394 arin on account of severe hypocalcemia. In comparison,
Q8] 395 five patients in the heparin group had to be changed to
396 RCA on account of clinically significant bleeding or

recurrent filter clotting. In terms of safety issues, RCA 397
seems therefore to have a favorable profile. 398

In the subgroup of 12 patients with liver failure but 399
with factor V >20%, RCA treatment was tolerated as well 400
as heparin. Due to the small number of patients, it is dif- 401
ficult to draw any conclusion about the safety of RCA in 402

403 this subset of patients. Moreover, we excluded the
 404 patients with the worst liver function (defined as a factor
 405 $V < 20\%$). Results from a prospective observational study
 406 investigating citrate accumulation in patients with
 407 decompensated liver cirrhosis or acute liver failure were
 408 recently published. Twenty-eight patients received citrate-
 409 based CCVHDF and even though these patients had a
 410 higher total calcium/ionized calcium ratio, which reflects
 411 citrate accumulation, major disturbances of acid-base or
 412 electrolyte status were not found [25].

413 Although this was not our primary objective, we were
 414 unable to show a significant reduction in mortality with
 415 RCA use, as observed in the largest published RCA trial
 416 (7). This positive effect of citrate anticoagulation on
 417 survival was noted especially in patients with sepsis and
 418 in patients with higher severity scores. In this trial, the
 419 90-day mortality was 63% in the nadroparin group ver-
 420 sus 48% in the RCA group. This survival advantage how-
 421 ever, was not observed in another recently published

422 RCT, where 174 patients requiring RRT were random-
 423 ized to RCA versus standard heparin (8). Different expla-
 424 nations could account for the discrepancies on mortality

425 between our results and those of the Dutch trial (7).

426 First, the overall mortality (20 and 23.9%) is remarkably
 427 low in our trial and clearly lower than what has been de-
 428 scribed so far in similar populations. Lower mortality
 429 seems to be common in recently published RCTs in the
 430 ICU setting, most probably reflecting improvement in
 431 the standard of care. Second, our sample size does not
 432 allow us to detect a significant change in mortality.
 433 Mean age and percentage of surgical patients and those
 434 on mechanical ventilation were also higher in the Dutch
 435 trial than in our trial, which could also explain the high-
 436 est mortality rate. Finally, low-molecular-weight heparin
 437 was used in that trial compared with the unfractionated
 438 heparin in our study.

439 Our study has several limitations. First, it is relatively
 440 small, therefore underpowered to detect beneficial effects
 441 other than filter lifespan and more efficient delivery of the
 442 RRT dose. It is monocentric and cannot be generalized to
 443 other ICUs, as our patient management involved two
 444 medical teams of nephrologists and intensivists working
 445 closely together. Second, a direct measurement of the dia-
 446 lysis dose with urea clearance could not be measured for
 447 practical reasons, and we used the delivered RRT dose cal-
 448 culated on treatment duration as a proxy for dialysis
 449 efficiency.

450 Conclusion

451 Notwithstanding, our results show that metabolic compli-
 452 cations of RCA can be avoided with the help of a strict
 453 protocol and the use of a commercially available predilu-
 454 tion citrate fluid, with a safety profile that looks promising.
 455 We also confirm that hemorrhagic complications can be

456 avoided with RCA. Although RCA use did not translate 456
 457 into an improvement in 90-day survival in our trial (which 457
 458 was underpowered for this endpoint), there is a clear 458
 459 advantage of RCA over heparin-based anticoagulation in 459
 460 terms of filter lifespan and effective daily delivered RRT 460
 461 dose. 461

462 Key messages

- 463 • In ICU patients with AKI treated with continuous 464
 465 RRT, regional citrate anticoagulation is superior to 465
 466 heparin in terms of filter life span and delivered RRT 466
 467 dose 467
- 468 • Metabolic complications of RCA can be avoided 468
 469 with the help of a strict protocol 469

470 Abbreviations

471 AKI: acute kidney injury; CRRT: continuous renal replacement therapy;
 472 CWVHDF: continuous veno-venous hemodiafiltration; HIT: heparin-induced
 473 thrombocytopenia; KDIGO: Kidney disease improving global outcomes;
 474 RCA: regional citrate-based anticoagulation; RRT: renal replacement therapy. 474

475 Competing interests

476 On behalf of all authors, the corresponding author states that there is no
 477 competing interest. Gambro provided the citrate-based replacement fluid at
 478 the same price as the standard replacement fluid and an additional funding of
 479 25'000 CHF for a research assistant position in charge of the data management
 480 during the first year. Gambro had neither control of data analysis nor of writing
 481 the protocol or paper. 481

482 Authors' contributions

483 PS, FS and BP participated in the study design, analysis and interpretation,
 484 and manuscript writing. JT and HW participated in the data collection. JP
 485 and PYM participated in the study design, manuscript preparation and
 486 writing. All authors read and approved the final manuscript. 486

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 489 this RCA-protocol, and Dr Sebastian Carballo for his linguistic assistance. 489

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